

APPENDIX 2

= Current Status

ANNUAL AUDIT PLAN 2016/17 ~ PROGRESS UPDATE

STATUS UPDATE

<i>Annual Audits ~ Key Financial Systems</i>		<i>Commenced</i>	<i>Draft Report Stage</i>	<i>Completed</i>
1	Ernst & Young Key Financial Controls (ISA)			
2	Asset Management (IT Asset Inventory)			
3	Housing Benefits (CenSus Partnership)			
4	Cash & Bank			
5	Creditors			
6	Debtors			
7	Payroll			
8	Treasury Management			

Annual Audits ~ Other

9	BACS			
10	Business Continuity			
11	Contracts			
12	IT / Data Governance			
13	Computer Audit ~ Release & Change Management			
14	Computer Audit ~ Software License Management			
15	Computer Audit ~ PCI DSS (Payment Card Industry Data Security Standards)			
16	Computer Audit ~ New Finance System			
17	Ethics, Values & Behaviours			
18	Risk Management Assurance			
19	Purchase Cards			

4 Year Cycle

20	Budgetary Control			
21	Building Maintenance & Facilities Management			
22	Car Parking Enforcement (CPE)			
23	Communications (to include Marketing)			
24	Use of Consultants			
25	Customer Service / Complaints Management			
26	Depots ~ Bulky Waste			
27	Depots ~ Trade Waste			
28	Events Management			
29	Emergency Planning			
30	Grants ~ Private Sector Housing Assistance Grants			
31	Building Control ~ Cost Sharing Arrangements			
32	Project Management ~ Audit of BBHLC Project Governance Arrangements			
33	Recruitment			
34	Security			

Other Work

Corporate Governance ~ Update Code of Corporate Governance			
Corporate Governance ~ Coordinate completion of the Annual Governance Statement			
National Fraud Initiative (NFI) Data Matching (Coordinate Uploads)			
National Fraud Initiative (NFI) Data Matching (Review Reports)			
Consultancy ~ Parks & Open Spaces / Grounds Maintenance Contract			
Consultancy ~ IT Software (to assist Future Horsham Project)			
PSIAS (External) Review ~ Rother			
PSIAS (External) Review ~ Hastings			
Assurance Framework ~ Pilot within Finance			

Unscheduled Work

	<i>In Progress</i>	<i>Completed</i>
Shared Services ~ Outline Business Case		
Data Matching on behalf of Saxon Weald Homes		
Bribery Procedures written		
Disabled Facilities Capital Grant Certification for Central Government		
Review of proposed new Supplier e-form		
Review of new E-Bay procedure		
Development of a formal Risk Management Process for Projects		